



05-02-05

DFW

April 29, 2005

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Chang, Tenny; et. al.  
Assignee: Cardica, Inc.  
Title: System for Preparing a Vessel for Anastomosis  
Serial No.: 10/607,524  
Examiner: Not yet assigned  
Docket No.: 133  
Filed: June 26, 2003  
Group Art Unit: 3721

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) Form PTO-1449 and 1 reference; and
- (4) Return postcard.

Please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

**EXPRESS MAIL SERIAL NO.:  
EV430389832US**

Respectfully submitted,

Brian A. Schar, Esq.  
Reg. No. 45,076  
Cardica, Inc.  
900 Saginaw Drive  
Redwood City, CA 94063



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Chang, Tenny; et. al.  
Assignee: Cardica, Inc.  
Title: System for Preparing a Vessel For Anastomosis  
Serial No.: 10/607,524 Filing Date: June 26, 2003  
Examiner: Not yet assigned Group Art Unit: 3721  
Docket No.: 133

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information listed on the accompanying form PTO-1449 is brought to the attention of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

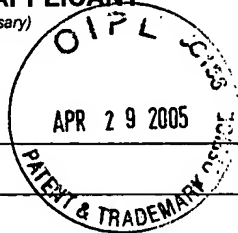
Brian A. Schar  
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900 Saginaw Drive  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

Application Number	10/607,524
Filing Date	June 26, 2003
First Named Inventor	Chang, Tenny
Group Art Unit	3721
Examiner Name	Unknown

Sheet 1 of 1

Attorney Docket No: 133

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		"510(k) Notification For the Cardica C-Port Anastomosis System", Section 9, "Substantial Equivalence," and Appendices B, C, E, (Unpublished),	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional) <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached